

CORPORATE GOVERNANCE SCORECARD REPORT A

Name of GOCC:	METROPOLITAN WATERWORKS AND SEWERAGE SYSTEM - CORPORATE OFFICE		
Sector:	UTILITIES AND INFORMATION-COMMUNICATION		
Date submitted:	30-Sep-21		
Year being assessed:	2020		
Date/s Assessed:	18-Oct-21		

COMPONENT				GOCC SUBMISSION			GCG VALIDATION		
GRP	ITEM	QUESTION	ANS	GUIDE	COMPLIANCE	ANS	REFERENCE LINK/SUPPORTING DOCUMENTS	ANS	Comments
I. Stakeholder Relationship									
I	1.a	Does the GOCC disclose a policy that: Stipulates the existence and scope of its effort to address customer's welfare?	Y	<p><i>The GOCC must 1.) identify its stakeholders and 2.) state the policies that were created for the welfare of its customers.</i></p> <p><i>The stated policies must not be ambiguous and should include their underlying principles and guidelines.</i></p>	<p>Sec 37-38, MWSS Manual on Corporate Governance (MMCG) : The MWSS Manual of Corporate Governance Sec37-38 states its commitment to uphold principles by giving equitable treatment of its stakeholders and promote sustainable economic growth for the very life and livelihood of our countrymen.</p> <p>Citizen's Charter Handbook, Service Pledge</p> <p>MWSS Corporate Profile: declares as its major policy the proper operation and maintenance of waterworks system to ensure an uninterrupted and adequate supply and distribution of potable water to its stakeholders.</p> <p>MWSS 2021 Information Kit (Policy Statements) : The MWSS-CO' states its Stakeholders' Relationship and Engagement as one of its major concerns.</p>	Y	<p>a.) Sec 37-38, MWSS Manual on Corporate Governance (MMCG) : https://mwss.gov.ph/transparency-seal-2/</p> <p>Section 43.4 Manual of Corporate Governance https://mwss.gov.ph/wp-content/uploads/Final-MWSS-CO-Citizens-Charter-07102020.pdf</p> <p>b.) MWSS Corporate Profile: https://mwss.gov.ph/our-corporate-profile/</p> <p>c.) MWSS 2021 Information Kit (Policy Statement) : https://mwss.gov.ph/wp-content/uploads/Final-2021-Information-Kit-v2.pdf</p>	Y	<p>Clearly enumerated stakeholders as follows:</p> <p>a) GOVERNMENT</p> <p>The biggest stakeholder of the MWSS is the government as owner of the assets and the concession as a whole. From the government emanates the policy and regulatory directions which ensure that MWSS is governed/managed with utmost integrity and upon which MWSS' own internal policies are anchored. MWSS' organizational goals are directly aligned with national development goals through government's Philippine Development Plan and other national policies. Hence, the fulfillment of MWSS' mandate and organizational goals directly impinge on government's fulfillment of its own national development objectives.</p> <p>b) EMPLOYEES</p> <p>The employees are the backbone of any organization like MWSS and serve as the key towards the fulfillment of its mandate, powers and duties. Thus, MWSS ensures that the welfare and well-being of its employees are adequately addressed/met. MWSS likewise ensures that it will enhance the skills sets and upgrade the skill levels of its employees for personal and professional growth and development thru continuous trainings and other appropriate mechanisms. Issues and problems arising from work are tackled and discussed systematically to provide for sufficient and adequate solutions..</p> <p>c) CONCESSIONAIRES</p> <p>By virtue of the Concession Agreements signed in 1997 by and</p>
I	1.b	Does the GOCC disclose a policy that: Elaborates its efforts to interact with the communities in which they operate?	Y	<p><i>The GOCC must clearly identify its policy on interacting with the communities around it.</i></p> <p><i>The identified policy must not be ambiguous and should include its principles and guidelines.</i></p>	<p>a.) MWSS Code of Ethical Standards (MCES): it states here that MWSS interacts with the communities around it in a just, honest and in a timely manner.</p> <p>Corporate Profile- CSR Statement "Conduct consultation with affected communities and relevant national and local regulatory agencies."</p> <p>b). Annual Report Page 49 - Clearing Operations BNAQ 7</p>	Y	<p>a). https://mwss.gov.ph/transparency-seal-2/</p> <p>b). Annual Report Page 49 - Clearing Operations BNAQ 7 - https://mwss.gov.ph/wp-content/uploads/Draft-Annual-Report-2020-Ver2.pdf</p>	Y	<p>CSR Principles:</p> <p>"As an integral part of the National Government, MWSS is inherently mandated to be socially responsible, to act and operate as a good corporate entity. Th Board of Trsutees shall recognize and perform the obligations of the MWSS towards the National Government, its majority stockholder, as well as other stakeholders, and the communities in which it operates.</p> <p>Source: (page 1) https://mwss.gov.ph/wp-content/uploads/CSR-Statement-1.pdf</p>

I	1.c	Does the GOCC disclose a policy that: Ensure that its value chain is environmentally friendly or is consistent with promoting sustainable development?	Y	<p>The GOCC must clearly identify its policy on 1) keeping its value chain environmentally friendly or 2) promoting sustainable development.</p> <p>The identified policy must not only show how the GOCC complies with existing environmental regulations but should also show how it employs value processes that reduce waste and damage to the environment. The policy should also not be ambiguous and should include its principles and guidelines.</p>	<p>a.) MWSS 2021 Information Kit (Policy Statements) : The MWSS-CO' states its responsibility on practicing Environmental soundness and sustainability</p> <p>b.) Strategic Objective (SO) No. 4 of MWSS' 2020 Calibrated PES stating Reforestation of Angat, Ipo, La Mesa Watershed Areas</p> <p>Links of MWSS' activities showing how it employs value processes that reduce waste and damage to the environment:</p> <p>Annual Report , pages 7 on Good Governance; pp18-19 on Kaliwa Dam; p28 on New Water Sources; p37 on Data Book, p33 on Sustainable Wastewater Management</p>	Y	<p>a.) http://mwss.gov.ph/corporate-governance-scorecard/csr-statement-3/</p> <p>Memorandum Circular - Provision for Health, Safety, Sanitation and Wellness Program: https://mwss.gov.ph/wp-content/uploads/MC-No.-2019-13-Guidelines-in-the-provision-for-health-safety-sanitation-and-wellness-program-for-MWSS.pdf</p>	Y	<p>ENVIRONMENTALLY-FRIENDLY VALUE CHAIN TO ENSURE THE ENVIRONMENTAL SOUNDNESS AND SUSTAINABILITY OF OUR PROGRAMS, PROJECTS, AND ACTIVITIES</p> <p>WE</p> <p>Integrate environmental considerations into the project decision-making process.</p> <p>Comply with governmental environmental laws and regulations and secure the project approvals.</p> <p>Conduct consultation with affected communities and relevant national and local regulatory agencies.</p> <p>Identify measures to avoid or mitigate adverse project impacts on the environment and proactively manage risks.</p> <p>We believe that we can deliver sustainable conservation outcomes by utilizing the latest science and best practices for biodiversity management and by working in partnership with governments, civil society, and communities.</p> <p>We are committed to improving our operational water management and engaging proactively with stakeholders in sectoral water challenges and solutions. We value water as a precious resource and seek to create a water stewardship legacy within the communities that we source our water.</p> <p>Through MWSS Innovation and Technology Group, we support research of our water and wastewater treatment supplies, their uses, and value streams to better understand potential positive and negative impacts on human health and the environment, and to identify mitigation measures. We encourage, or require where practical, our Concessionaires to adopt similar objectives</p>
I	2.a	Does the GOCC disclose the activities that it has undertaken to implement the abovementioned policies? (Customer health and safety)	Y	<p>The GOCC must state the activities it has undergone during the year being assessed to implement its policies on customer health and safety.</p> <p>The GOCC must state the dates when the aforementioned activities took place.</p>	<p>Memorandum Circular on Provision for Health, Safety, Sanitation and Wellness Program (MC No. 2019-13) : states policy and program implementation that addresses the health and general welfare of the MWSS workforce.</p> <p>March 31, 2020 - website Information Material on how to Conserve Water amidst Corona Virus crisis</p> <p>June 2, 2020 - website article reassuring water allocation is maintained to ensure enough water supply in the Metro.</p> <p>May 12, 2020 - website article on how to stay safe even during water supply interruption</p> <p>Annual report, p8 Organizational agility; p36 Regulating non domestic discharges</p>	Y	<p>a.) http://mwss.gov.ph/corporate-governance-scorecard/csr-statement-3/</p> <p>Memorandum Circular - Provision for Health, Safety, Sanitation and Wellness Program: https://mwss.gov.ph/wp-content/uploads/MC-No.-2019-13-Guidelines-in-the-provision-for-health-safety-sanitation-and-wellness-program-for-MWSS.pdf</p> <p>https://youtu.be/ajtPul2PXNU - Water Conservation tips while on ECQ</p> <p>http://mwss.gov.ph/how-can-we- conserve-water-amidst-the-coronavirus-crisis/</p> <p>https://mwss.gov.ph/mwss-full-allocation-for-domestic-use-maintained-until-june-2020/</p> <p>http://mwss.gov.ph/mwss-covid-19-reminder-wash-hands-stay-safe-during-</p>	Y	<p>June 2, 2020</p> <p>Administrator Salamat announced today that MWSS water allocation would be maintained at 48 cubic meters per second (CMS) until the end of June 2020, as requested by MWSS to NWRB.</p> <p>He said that the overriding reason to said request was to ensure that water supply is available in protecting human health against COVID-19 and to sustain the current water system demands that are at an all-time high due to higher than normal temperatures for this time of year. The MWSS has requested the two concessionaires to implement an equitable distribution of water allocation to make sure to deliver better services and support government efforts during the period of GCQ.</p> <p>Source: https://mwss.gov.ph/mwss-full-allocation-for-domestic-use-maintained-until-june-2020/</p>

I	2.b	Does the GOCC disclose the activities that it has undertaken to implement the abovementioned policies? (Interaction with the communities)	Y	<p><i>The GOCC must state the activities it has undergone during the year being assessed to implement its policies on community interaction.</i></p> <p><i>The GOCC must state the dates when the aforementioned activities took place.</i></p>	<p><i>Activities that MWSS has undertaken to implement its policies on community interaction:</i></p> <p>a.) April & May 2020: MWSS organized relief operations to Indigenous People Groups (IPs) affected by the community quarantine.</p> <p>b.) November 2019 : MWSS reached out to the Indigenous Peoples (IPs) of General Nakar through a consultation and dialogue.</p> <p>c.) November 9, 2020: MWSS, through its Concessionaires, announced that there is no increase on tariff to water consumers until 2021.</p> <p>d.) December 23, 2020: MWSS conducted negotiations with authorized elders and tribal leaders of IPs affected by the new dam project.</p> <p>e.) June 29, 2020: MWSS sends relief to Bantay Gubat rangers of Ipo Watershed</p> <p>f.) December 20,21 & 28, 29, 2020: MWSS gift giving tradition continues</p>	Y	<p>Link of activities that MWSS has undertaken to implement its policies on community interaction:</p> <p>a.) http://mwss.gov.ph/mwss-extends-relief-for-the-indigenous-peoples-affected-by-community-quarantine/</p> <p>b.) http://mwss.gov.ph/mwss-coordination-meeting-with-dumagat-remontado-on-kaliwa-dam-project/</p> <p>c.) https://mwss.gov.ph/customers-need-not-worry-about-the-new-pricing-in-water/</p> <p>d.) https://mwss.gov.ph/pic-process-for-the-kaliwa-dam-project-3-years-on-and-still-going/</p> <p>e.) https://mwss.gov.ph/mwss-sends-relief-to-the-bantay-gubat-rangers-of-ipo-watershed/</p> <p>f.) https://mwss.gov.ph/mwss-christmas-gift-giving-tradition-</p>	Y	<p>MWSS EXTENDS RELIEF FOR THE INDIGENOUS PEOPLES AFFECTED BY COMMUNITY QUARANTINE</p> <p>MWSS led six (6) relief operations in April 2020, and two (2) in May 2020 during the lockdown.</p> <p>Source: https://mwss.gov.ph/mwss-extends-relief-for-the-indigenous-peoples-affected-by-community-quarantine/</p>
I	2.c	Does the GOCC disclose the activities that it has undertaken to implement the abovementioned policies? (Environmentally-friendly value chain)	Y	<p><i>The GOCC must state the activities it has undergone during the year being assessed to implement its policies on promoting sustainable development and/or environmentally-friendly value chain.</i></p> <p><i>The GOCC must state the dates when the aforementioned activities took place.</i></p>	<p><i>Activities that MWSS has undertaken to implement its policies on promoting sustainable development and/or environmentally-friendly value chain:</i></p> <p>a.) January 15, 2020: MWSS partners with Boys Scout of the Philippines to encourage more agencies to join the A Million Tree Challenge project</p> <p>b.) March 13, 2020: MWSS begun its cloud seeding operations to create artificial rain that will replenish ground water supplies and potentially boost rainfall to avert the dwindling water levels at Angat, Ipo and La Mesa dams.</p> <p>c.) March 10, 2020: MWSS-Corporate Office was given a Certificate of Recognition for its unwavering support to the implementation of anti-cutting and harvesting of timber in the Natural Residual Forest of Bulacan.</p> <p>d.) October 13, 2020: MWSS continues its tree planting activities despite pandemic.</p> <p>e.) September 29, 2020: MWSS joins the Adopt an Estero Program by Manila Water</p>	Y	<p>Link of activities that MWSS has undertaken to implement its policies on promoting sustainable development and/or environmentally-friendly value chain:</p> <p>a.) http://mwss.gov.ph/mwss-boys-scout-of-the-philippines-partnership-for-the-annual-million-tree-challenge-amtc/</p> <p>b.) https://mwss.gov.ph/1st-cloud-seeding-operations-started-at-ang-at-dam/</p> <p>c.) https://mwss.gov.ph/mwss-calls-for-greater-vigilance-against-protection-of-natural-residual-forest/</p> <p>d.) https://mwss.gov.ph/tree-planting-activities-and-inspection-along-ncws-kdp-access-road/</p> <p>e.) https://mwss.gov.ph/mwss-calls-on-support-for-the-rejuvenation-of-the-san-juan-</p>	Y	<p>In a meeting held on 7 Jan 2020, MWSS Board Chairman Reynaldo V. Velasco together with BSP's National President Robert M. Pagdanganan, and Chief National Commissioner Cedrick G. Train, discussed the strategic alignment of the AMTC with the BSP's "Scouts Go Green Project". Chairman Velasco said "multi-level partnerships that combines organizational strengths and capabilities is still the fastest way to promote watershed health".</p> <p>Source: https://mwss.gov.ph/mwss-boys-scout-of-the-philippines-partnership-for-the-annual-million-tree-challenge-amtc/</p>

1	3	Does the GOCC have a separate corporate social responsibility (CSR) report/section or sustainability report/section?	Y	<p><i>The GOCC must identify both (1) the social and environmental issues of its stakeholders and (2) the activities it undertook to address the said issues during the year being assessed.</i></p> <p><i>No points will be given if only the stakeholders and their CSR issues are identified.</i></p>	<p><i>MWSS has corporate social responsibility (CSR) report/section or sustainability report/section:</i></p> <p><i>a.) CSR statements (source: MWSS Manual of Corporate Governance)</i></p> <p><i>b.) 2020 Year-End Report</i></p> <p><i>c.) MWSS 2021 Information Kit</i></p> <p><i>d.) Annual Report, p66 CSR activities; Board Resolution Nos. 052, 054,094, 095 p64</i></p>	Y	<p>MWSS has corporate social responsibility (CSR) report/section or sustainability report/section:</p> <p>a.) https://mwss.gov.ph/wp-content/uploads/CSR-Statement-1.pdf</p> <p>b.) 2020 Year-End Report : https://mwss.gov.ph/wp-content/uploads/2020-Year-end-Accomplishment-Report.pdf</p> <p>c.) MWSS 2021 Information Kit : https://mwss.gov.ph/wp-content/uploads/Final-2021-Information-Kit-v2.pdf</p> <p>d.) https://mwss.gov.ph/wp-content/uploads/Draft-Annual-Report-2020-Ver2.pdf</p>	Y	<p>Board Resolution Nos. 052, 054,094, 095 p64:</p> <p>COVID-19 relief assistance to indigenous peoples of Bulacan, Quezon, and Rizal Province</p> <p>Financial support to the families of farmers affected by the re-allocation of irrigation water to MWSS</p> <p>Gift-giving drives and relief operations to bring the spirit of Christmas to the communities in the Indigenous Cultural Communities/ Indigenous Peoples of Umiray, Kaliwa Site, Angat and Ipo Dam.</p> <p>Bringing comfort and hope during this pandemic-plagued holiday season - Dec 20, 21, 28, and 29, 2020.</p> <p>Source: (pages 65-66) https://mwss.gov.ph/wp-content/uploads/Draft-Annual-Report-2020-Ver2.pdf</p>
1	4	Where stakeholder interests are protected by law, stakeholders should have the opportunity to obtain effective redress for violation of their rights. Does the GOCC provide contact details via the company's website or Annual Report which stakeholders (e.g. customers, suppliers, general public etc.) can use to voice their concerns and/or complaints for possible violation of their rights?	Y	<p><i>The GOCC must have contact details (phone number or email address) specifically for concerns and/or complaints.</i></p>	<p><i>a.) Sec. 37-CSR Principles, MWSS Manual on Corporate Governance (MMCG)</i></p> <p><i>b.) Whistleblowing policy original and revision No.1; and Whistleblowing Report Form</i></p> <p><i>c.) MWSS Citizen's Charter via Client Request Form</i></p> <p><i>d.) MWSS Website - Contact Us Section</i></p> <p><i>e.) All MWSS Annual Reports uploaded on website</i></p> <p><i>f.) Citizen's Charter, p125 List of Offices; p126 Feedback and Complaints Mechanism</i></p>	Y	<p>a.) Sec. 37-CSR Principles, MWSS Manual on Corporate Governance (MMCG) : https://mwss.gov.ph/transparency-seal-2/Section 43.4 Manual of Corporate Governance</p> <p>b.) http://mwss.gov.ph/wp-content/uploads/Whistleblowing-Policy.pdf</p> <p>https://mwss.gov.ph/wp-content/uploads/MWSS-Whistleblowing-Policy-Revision-No1.pdf</p> <p>Whistleblowing Report Form: https://docs.google.com/forms/d/1ZCjna1YzkmCLmOVC0MySpsjJ_EbFigOzzKCgt67K0lc/viewform?edit_request=true&fbclid=IwAR18W7lmt13qcJoT0he-oFr56eTUNxJYW2lnErZY3wV9gbKmvPtjzQ_eyQ</p> <p>c.) MWSS Citizen's Charter (Page 126) : https://mwss.gov.ph/wp-content/uploads/Final-MWSS-CO-Citizens-Charter</p>	Y	<p>FOR CUSTOMER COMPLAINTS: Maynilad:</p> <p>Hotline: 1626</p> <p>Cavite Toll Free No.: 1800-1000-92837</p> <p>Website: https://www.mayniladwater.com.ph/contact-us/</p> <p>Text Hotline: 0998-8641446</p> <p>Email: customer.helpdesk@mayniladwater.com.ph</p> <p>Social media accounts:</p> <p>Twitter: @maynilad</p> <p>Facebook: Maynilad Water</p> <p>Source: https://mwss.gov.ph/contact/</p>

I	5.a	Performance-enhancing mechanisms for employee participation should be permitted to develop. Does the GOCC explicitly mention the health, safety and welfare policy for its employees?	Y	<p><i>The GOCC must clearly identify its policy on employee health, welfare and safety.</i></p> <p><i>The identified policy must not be ambiguous and should include its principles and guidelines.</i></p>	<p>a.) Memorandum Circular on Provision for Health, Safety, Sanitation and Wellness Program (MC No. 2019-13) : states policy and program implementation that addresses the health and general welfare of the MWSS workforce.</p> <p>b.) Collective Negotiation Agreement : Article VI - XII states employees' rights to health, safety, welfare and humane working conditions allowed under the law.</p> <p>c.) GAD Database : shows rights to anti-violence and harrasment</p> <p>d.) Training Programs : shows the training programs conducted for development, empowerment and harnessing of employees' potentials.</p> <p>e.) 2020 Year End Accomplishment Report page 24 (Workplace and Employee Well-being during pandemic)</p>	Y	<p>a.) Memorandum Circular - Provision for Health, Safety, Sanitation and Wellness Program: https://mwss.gov.ph/wp-content/uploads/MC-No.-2019-13-Guidelines-in-the-provision-for-health-safety-sanitation-and-wellness-program-for-MWSS.pdf</p> <p>b.) Collective Negotiation Agreement – https://mwss.gov.ph/wp-content/uploads/CNA-2018-to-2021-DPA.pdf</p> <p>c.) GAD Database – https://mwss.gov.ph/human-resources-matters/gender-and-development/</p> <p>d.) Training Programs – https://mwss.gov.ph/wp-content/uploads/B11-LD-Program-of-Activities-with-Budget-2020.pdf</p> <p>e.)2020 Year End Accomplishment Report</p>	Y	<p>Disclosure found.</p> <p>MC 2019-03 clearly stated the Objective, Policy Statement, Coverage, and Guidelines (i.e., Health Program, Wellness Program, Occupational Safety and Health Standards, Sanitation, Smoke-Free Environment)</p> <p>Source: https://mwss.gov.ph/wp-content/uploads/MC-No.-2019-13-Guidelines-in-the-provision-for-health-safety-sanitation-and-wellness-program-for-MWSS.pdf</p>
I	5.b	Does the GOCC publish data relating to health, safety and welfare of its employees?	Y	<p><i>he GOCC must publish data related to health, safety and welfare of its employees such as, but not limited to, absenteeism and occupational injuries/diseases.</i></p>	<p>a.) Memorandum Circular on Provision for Health, Safety, Sanitation and Wellness Program (MC No. 2019-13) : states policy and program implementation that addresses the health and general welfare of the MWSS workforce.</p> <p>b.) Collective Negotiation Agreement : Article VI - XII states employees' rights to health, safety, welfare and humane working conditions allowed under the law.</p> <p>c.) Training Programs : shows the training programs conducted for development, empowerment and harnessing of employees' potentials.</p> <p>d.) 2020 Year-End Report: stated activities relating to welfare of employees</p>	Y	<p>a.) Memorandum Circular on Provision for Health, Safety, Sanitation and Wellness Program – https://mwss.gov.ph/wp-content/uploads/MC-No.-2019-13-Guidelines-in-the-provision-for-health-safety-sanitation-and-wellness-program-for-MWSS.pdf</p> <p>b.) Collective Negotiation Agreement – https://mwss.gov.ph/wp-content/uploads/CNA-2018-to-2021-DPA.pdf</p> <p>c.) Training Programs – https://mwss.gov.ph/wp-content/uploads/B11-LD-Program-of-Activities-with-Budget-2020.pdf</p> <p>d.) 2020 Year-End Report : https://mwss.gov.ph/wp-content/uploads/2020-Year-end-Accomplishment-Report.pdf (page 22)</p>	Y	<p>Article VI - Recruitment, Placement, and Professional Growth and Development</p> <p>Article VII - Labor Education, Seminars, Conferences and Training Programs</p> <p>Article VIII - Promoting Gender Equality</p> <p>Article IX - Sports, Recreation, Cultutre, and Social Integration</p> <p>Article X - Safe, Healthy and Conducive Work Environment</p> <p>Article XI - Other Employee Privileges</p> <p>Article XII - Employee Benefits Program</p>

I	5.c	Does the GOCC have training and development programmes for its employees?	Y	<i>The training and development programs for employees must have occurred during the year being assessed and there should be a brief description describing each of the programs.</i>	<p>a.) <i>Competency Based Learning and Development Plan: states the Learning and Development (L&D) programs for the MWSS employees.</i></p> <p>b.) <i>Training Programs : shows the training programs conducted for development, empowerment and harnessing of employees' potentials with brief descriptions.</i></p> <p>c.) <i>2020 Year-End Report (pages 24) Talent Competence</i></p>	Y	<p>a.) Competency Based Learning and Development Plan – https://mwss.gov.ph/wp-content/uploads/Competency-Based-Learning-and-Development-Plan.pdf</p> <p>b.) Training Programs – https://mwss.gov.ph/wp-content/uploads/B11-LD-Program-of-Activities-with-Budget-2020.pdf</p> <p>c.) 2020 Year-End Report (pages 24 - Talent Competence) : https://mwss.gov.ph/wp-content/uploads/2020-Year-end-Accomplishment-Report.pdf</p>	Y	<p>Disclosure found. (page 24)</p> <p>Ensured Talent Competence MWSS invested in staff training to support employees' developmental needs. Based on training needs assessment, there were five (5) virtual training and 11 webinars conducted to capacitate employees, improve their attitude, knowledge and working skill. As we shifted to remote work operations, employees' competencies to enable them to collaborate digitally was given great attention. For CY2020, 19 out of 101 employees met the required competencies compared with the CY 2019 assessment, when out of the 109 employees, only six (6) employees met the required competencies of their positions. The improved percentage of employees meeting the required competencies is attributed to the good competency framework, motivational leadership provided by Management, and personal interests such as career progress. MWSS has a lot more to do to improve the pool of practitioners whose varying levels of competencies are needed to support MWSS's water security goals.</p>
I	5.d	Does the GOCC publish data on training and development programmes for its employees?	Y	<i>The GOCC must give the name of the program and either the 1.) number of participants per program or 2.) average hours per training held</i>	<p>a.) <i>Competency Based Learning and Development Plan: states the Learning and Development (L&D) programs for the MWSS employees.</i></p> <p>b.) <i>Training Programs : shows the training programs conducted for development, empowerment and harnessing of employees' potentials with brief descriptions, participants and days to be conducted</i></p>	Y	<p>a.) Competency Based Learning and Development Plan – https://mwss.gov.ph/wp-content/uploads/Competency-Based-Learning-and-Development-Plan.pdf</p> <p>b.) Training Programs – https://mwss.gov.ph/wp-content/uploads/B11-LD-Program-of-Activities-with-Budget-2020.pdf</p>	Y	https://mwss.gov.ph/wp-content/uploads/B11-LD-Program-of-Activities-with-Budget-2020.pdf
I	6.a	Stakeholders including individual employee and their representative bodies, should be able to freely communicate their concerns about illegal or unethical practices to the board and their rights should not be compromised for doing this. Does the GOCC have procedures for complaints by employees concerning illegal (including corruption) and unethical behavior?	Y	<i>The GOCC must disclose the actual procedures of their whistleblowing policy for their employees or grievance machinery. Merely stating that they have a policy will not suffice.</i>	<p>a.) <i>Whistleblowing policy original and revision No. 1; and Whistleblowing Report Form: states the procedures on how to file a report on easier accessibility.</i></p> <p>c.) <i>2020 Year-End Report: states that MWSS has updated its Citizen's Charter Handbook as prescribed by ARTA</i></p>	Y	<p>a.) https://mwss.gov.ph/wp-content/uploads/Whistleblowing-Policy.pdf / Contact https://mwss.gov.ph/wp-content/uploads/MWSS-Whistleblowing-Policy-Revision-No1.pdf</p> <p>b.) https://mwss.gov.ph/wp-content/uploads/2020-Year-end-Accomplishment-Report.pdf</p>	Y	<p>MWSS' Enhanced Whistleblowing Policy (page 58): Whistle-blowing is one of the mechanisms used to deter corruption. It plays a role in encouraging accountability, transparency and high standards of governance in both the private sector and public institutions. Whistleblowers help combat criminal conduct and should thus be afforded protection by the state. On 24 September 2019 the MWSS implemented its own Whistleblowing Policy where whistleblowers were directed to report all reportable conditions to GCG as stated in the provided guidelines. The same was revised when the MWSS website allowed whistleblowers to submit their report to the Whistleblowing Web Portal at the MWSS website (mwss.gov.ph). Under the revised guidelines, all reports will be forwarded to whistle.feedback@mwss.gov.ph which is accessible only by the Administrator. The confidentiality of information flow, the protection of their identities are some of the enhancements in the new policy. The policy shows MWSS serious commitment to upholding a value-system where whistleblowers are valued and protected and sends a clear message that the full impact of the law will be felt by perpetrators and it is worthy to note that not a single complaint/report was received from the MWSS whistleblowing portal in 2020, which only goes to show that the men and women of MWSS faithfully follow the quote from the 1987 Philippine Constitution which says that "Public Office is a Public Trust"</p> <p>Source: https://mwss.gov.ph/wp-content/uploads/Draft-Annual-Report-2020-Ver2.pdf</p>

I	6.b	Does the GOCC have procedures to protect an employee/person who reveals illegal/unethical behavior from retaliation?	Y	<i>The GOCC should explicitly disclose the procedures/mechanism in place that protects the whistleblower from retaliation</i>	a.) <i>Whistleblowing policy original and revision No. 1; and Whistleblowing Report Form: states the procedures on how to file a report on easier accessibility.</i> b.) <i>Annual Report 2020 (page 58)- Enhanced Whistleblowing Policy Article</i>	Y	a.) https://mwss.gov.ph/wp-content/uploads/Whistleblowing-Policy.pdf / Contact https://mwss.gov.ph/wp-content/uploads/MWSS-Whistleblowing-Policy-Revision-No1.pdf b.) Annual Report 2020: https://mwss.gov.ph/wp-content/uploads/Draft-Annual-Report-2020-Ver2.pdf	Y	4.4 Protection of Whistleblower Against Retaliation Source: https://mwss.gov.ph/wp-content/uploads/Whistleblowing-Policy.pdf
COMPONENT									
					GOCC SUBMISSION			GCG VALIDATION	
GRP	ITEM	QUESTION	ANS	GUIDE	COMPLIANCE	ANS	REFERENCE LINK/SUPPORTING DOCUMENTS	ANS	REMARKS
II. Disclosure and Transparency									
II	7.a	Does the GOCC's website disclose the following items: Corporate objectives	Y	<i>The GOCC's corporate objectives must be specific, measurable, achievable, realistic and timely. Showing the GOCC's performance scorecard will also be given points.</i>	a.) MWSS 2021 Information Kit (Corporate Objectives) : The MWSS-CO' states its objectives to achieve good governance b.) 2020 MWSS Recalibrated Scorecard by GCG c.) Annual Report, p10 (Corporate Objectives: Commitment in Achieving Vision)	Y	a.) MWSS 2021 Information Kit : https://mwss.gov.ph/wp-content/uploads/Final-2021-Information-Kit-v2.pdf b.) 2020 Recalibrated PES- https://mwss.gov.ph/wp-content/uploads/GCG-approved-Calibrated-2020-PES.pdf c.) Annual Report 2020: https://mwss.gov.ph/wp-content/uploads/Draft-Annual-Report-2020-Ver2.pdf	Y	The five (5) objectives in the map are anchored on the themes of social impact, organizational and operational excellence. A series of initiatives will be launched that will enhance organizational excellence, foster a transformational stakeholder experience, investment in tomorrow's infrastructure that will ultimately position the MWSS-CO as a steward of water security.
II	7.b	Does the GOCC's website disclose the following items: Financial performance indicators	Y	<i>Apart from declaring the financial performance indicators in the annual report and audited financial statements, declaring the financial strategic measures in the GOCC's performance scorecard will also merit points.</i>	a.) MWSS Transparency Seal Section 43.3 (on Financial and Operational Matters) - Audited Financial Statements b.) Government Corporation Information Sheet (GCIS) shows financial information of MWSS-CO c.) 2020 Year-End Report: states report of financial information of MWSS-CO d.) 2020 MWSS Recalibrated Scorecard by GCG e.) Annual Report, p52-56	Y	a.) https://mwss.gov.ph/transparency-seal-2/ b.) GCIS 2019 – https://mwss.gov.ph/wp-content/uploads/GCIS-2019.pdf c.) 2020 Year-End Report : https://mwss.gov.ph/wp-content/uploads/Draft-Annual-Report-2020-Ver2.pdf d.) 2020 Recalibrated PES- https://mwss.gov.ph/wp-content/uploads/GCG-approved-Calibrated-2020-PES.pdf	Y	REMITTED IN FULL PAYMENTS TO BIR ADVANCE REMITTANCE OF FULL DIVIDENDS FOR THE YEAR 2019 SETTLED OUTSTANDING OBLIGATIONS ON NATIONAL GOVERNMENT ADVANCES ADDITIONAL FINANCING FOR BNAQ 7 UNDER THE AWTIP LOAN Source: pages 52-55 https://mwss.gov.ph/wp-content/uploads/Draft-Annual-Report-2020-Ver2.pdf

COMPONENT					GOCC SUBMISSION			GCG VALIDATION	
GRP	ITEM	QUESTION	ANS	GUIDE	COMPLIANCE	ANS	REFERENCE LINK/SUPPORTING DOCUMENTS	ANS	REMARKS
III. Board Responsibility									
III	11.a	Has the Board of Directors reviewed the vision and mission/ strategy in the last financial year?	Y	<i>The GOCC must disclose that the Board has reviewed the mission, vision and strategy during the year being assessed. The date of review must also be indicated. Merely stating the GOCC's mission, vision and strategy, and posting documents (strategy map and scorecard) will not be given points.</i>	a.) Board Resolution No. 2020-081-CO on Recalibrated 2020 PES b.) MWSS' 2020 Recalibrated Performance Scorecard with Mission, Vision, Charter statement and strategy map c.) MWSS 2021 Information Kit : shows mission and vision statement of MWSS d.) Annual Report p9-Strategy Map	Y	a.) Board Resolution No. 2020-081-CO on Recalibrated 2020 PES: https://mwss.gov.ph/wp-content/uploads/Board-Resolution-No-2020-081CO-Recalibrated-2020-PES.pdf b.) https://mwss.gov.ph/wp-content/uploads/2020-Performance-Scorecard-Charter-Statement-and-Strategy-Map.pdf c.) MWSS 2021 Information Kit : https://mwss.gov.ph/wp-content/uploads/Final-2021-Information-Kit-v2.pdf d.) https://mwss.gov.ph/wp-content/uploads/Draft-Annual-Report-2020-Ver2.pdf	Y	https://mwss.gov.ph/wp-content/uploads/Board-Resolution-No-2020-081CO-Recalibrated-2020-PES.pdf
III	11.b	Does the Board of Directors monitor/oversee the implementation of the corporate strategy?	Y	<i>There should be a disclosure on how the Board oversees the implementation of the corporate strategy.</i>	Scorecards are presented to the Board of Trustees for discussion and approval: a.) Board Resolution No. 2020-119-CO of PES 2021 b.) Board Resolution No. 2020-081-CO – Recalibrated 2020 PES c.) Annual Report p9-Strategy Map	Y	a.) https://mwss.gov.ph/wp-content/uploads/Board-Reso-No-2020-119CO-CY-2021-PES-of-MWSS-CO.pdf b.) https://mwss.gov.ph/wp-content/uploads/Board-Resolution-No-2020-081CO-Recalibrated-2020-PES.pdf c.) https://mwss.gov.ph/wp-content/uploads/Draft-Annual-Report-2020-Ver2.pdf	Y	Presented in the 29 September 2020 Executive and Governance Meeting in view of the circumstances brought about by the COVID-19 pandemic. As recommended and by the MWSS CO and favorably endorsed by the Executive and Governance Committee, APPROVED the recalibrated CY 2020 PES.
III	13.a	Are the details of the code of ethics or conduct disclosed?	Y	<i>The details of the Code of Ethics or Conduct must be disclosed. Merely stating that the GOCC has a Code of Ethics without divulging information on the coverage of the Code or how breaches are handled will not suffice.</i>	a.) MWSS Code of Ethical Standards (MCES)	Y	a.) MWSS Code of Ethical Standards (MCES) – https://mwss.gov.ph/wp-content/uploads/code-of-conduct.pdf	Y	Code of Ethical Standards (page 1) COVERAGE. This code of conduct and ethics shall apply to the BoT, officers and employees of MWSS whether appointed by the President of the Philippines, permanent, temporary, co-terminus, or contractual
III	13.b	Does the GOCC disclose that all Directors/Commissioners, senior management and employees are required to comply with the code?	Y	<i>It must be explicitly stated that all the Directors, senior management and the employees are required to comply with the Code. If the Code is only for employees, the item will be marked as "N."</i>	a.) MWSS Code of Ethical Standards (MCES)	Y	a.) MWSS Code of Ethical Standards (MCES) – https://mwss.gov.ph/wp-content/uploads/code-of-conduct.pdf	Y	NORMS OF CONDUCT. All BoT, including the Chairman, officers, and employees of MWSS shall observe the following standards of personal conduct and execution of official duties.
III	13.c	Does the company disclose how it implements and monitors compliance with the code of ethics or conduct?	Y	<i>Examples of activities done in order to implement or monitor compliance with the Code of Ethics/Conduct are: c-ommunicating the code to all existing and new employees and directors - making the code available on the company intranet for ease of access - requiring all parties to declare annually that they have complied with the code of ethics or conduct</i>	a.) MWSS Code of Ethical Standards (MCES)	Y	a.) MWSS Code of Ethical Standards (MCES) – https://mwss.gov.ph/wp-content/uploads/code-of-conduct.pdf	N	No evidence of disclosure on implementation or monitoring found

III	14	Does the Board appoint a Nomination Compensation / Remuneration Committee?	Y	<i>The GOCC must disclose the names of all of the members of its Nomination, Compensation / Remuneration Committee during the year being assessed. Merely stating the name of the office/agency of the Ex Officio Member will not suffice and will not garner any points.</i>	a.) Executive & Governance Committee (Board Committees) – GCIS 2019 Remuneration	Y	a.) Executive & Governance Committee – GCIS 2019 Remuneration – https://mwss.gov.ph/wp-content/uploads/GCIS-2019.pdf	Y	Governance Committee performs some functions of a nomination and remuneration committee. Velasco, Salamat, Cruz, Hernandez, Sia-Lambino, Vega page 4
III	15	Did the Nomination Compensation/Remuneration Committee meet at least twice during the year?	Y	<i>The GOCC must publish the meeting attendance records during the year being assessed.</i>	a.) Executive & Governance Committee (Board Committees) – GCIS 2019 Remuneration	Y	b.) Executive & Governance Committee – GCIS 2019 Remuneration – https://mwss.gov.ph/wp-content/uploads/GCIS-2019.pdf	Y	https://mwss.gov.ph/wp-content/uploads/2020-Board-of-Trustees-Attendance.pdf
III	16	If yes, is the report of the Nomination Compensation/Remuneration Committee publicly disclosed?	Y	<i>The GOCC must publish an accomplishment report of the committee and/or minutes of the meetings held.</i>	a.) Executive & Governance Committee (Board Committees) – GCIS 2019 Remuneration	Y	c.) Executive & Governance Committee – GCIS 2019 Remuneration – https://mwss.gov.ph/wp-content/uploads/GCIS-2019.pdf	N	No disclosure found.
III	17	Does the Board appoint an Audit Committee?	Y	<i>The GOCC must disclose the names of all of the members of its Audit Committee during the year being assessed. Merely stating the name of the office/agency of the Ex Officio Member will not suffice and will not garner any points.</i>	a.) Board Resolution 2019-122-CO (Board Committee membership)	Y	Board Resolution 2019-122-CO: https://mwss.gov.ph/wp-content/uploads/Board-Committee-Membership-2019.pdf	Y	Audit and Risk Mgt Committee: Pasquil, Acosta Jr., Alegarbes, Cruz, Velasco, Hernandez, Sia-Lambino
III	18	If yes, is the report of the Audit Committee publicly disclosed?	Y	<i>The GOCC must publish an accomplishment report of the committee and/or minutes of the meetings held.</i>	a.) Annual Report, p12-13	Y	https://mwss.gov.ph/wp-content/uploads/Draft-Annual-Report-2020-Ver2.pdf	Y	REPORT OF THE AUDIT, RISK AND MANAGEMENT COMMITTEE (ARMC) Review of Financial Reports Review of Internal Control System Effectiveness Review of Internal Audit Plans
III	19	Does at least one member of the Audit Committee have an audit, accounting or finance background (qualification or experience)?	Y	<i>The educational qualifications and/or work experience of the Audit Committee Members should be disclosed. At least one of the Audit Committee Members must have an audit, accounting or finance educational or work background in order to garner points for this item.</i>	a.) Transparency Seal (43.2. On the Board and Officers: https://mwss.gov.ph/transparency-seal-2/ b.) 2019 Annual Report (Page 27 Board Committees)	Y	a.) Transparency Seal (43.2. On the Board and Officers: https://mwss.gov.ph/transparency-seal-2/ b.) 2019 Annual Report (Page 27 Board Committees) : https://mwss.gov.ph/wp-content/uploads/MWSS-2019-ANNUAL-REPORT-Final-1.pdf	Y	ATTY. MELANIE S. LAMBINO MBE , JD Master in Business Economics (MBE) University of Asia and the Pacific School of Economics
III	20	Did the Audit Committee meet at least four times during the year?	Y	<i>The GOCC must disclose all the audit committee meetings held during the year being assessed.</i>	a.) BOT Attendance Attendance is disclosed on MWSS website	Y	a.) BOT Attendance : https://mwss.gov.ph/wp-content/uploads/2020-Board-of-Trustees-Attendance.pdf	Y	https://mwss.gov.ph/wp-content/uploads/2020-Board-of-Trustees-Attendance.pdf
III	21	Does the Board appoint a Risk Management Committee?	Y	<i>The GOCC must disclose the names of all of the members of its Risk Management Committee during the year being assessed. Merely stating the name of the office/agency of the Ex Officio Member will not suffice and will not garner any points.</i>	a.) Board Resolution 2018-044-CO (Board Committee membership) b.) Board Resolution 2019-122-CO (Board Committee membership)	Y	a.) Board Resolution 2018-044-CO (Board Committee membership): https://mwss.gov.ph/wp-content/uploads/21-Committee.pdf b.) Board Resolution 2019-122-CO: https://mwss.gov.ph/wp-content/uploads/Board-Committee-Membership-2019.pdf	Y	Audit & Risk Management, Engineering, Business and Finance Committee Pasquil, Demonteverde, Cruz, Alegarbes, Acosta Jr., Hernandez, Sia-Lambino
III	22	If yes, is the report on Risk Management Committee publicly disclosed?	Y	<i>The GOCC must publish an accomplishment report of the committee and/or minutes of the meetings held.</i>	a.) Board Resolution 2018-044-CO (Board Committee membership) b.) Board Resolution 2019-122-CO (Board Committee membership)	Y	a.) Board Resolution 2018-044-CO (Board Committee membership): https://mwss.gov.ph/wp-content/uploads/21-Committee.pdf b.) Board Resolution 2019-122-CO: https://mwss.gov.ph/wp-content/uploads/Board-Committee-Membership-2019.pdf	N	No disclosure found.

III	23	Does at least one member of the Risk Management Committee have a background in finance and investments?	Y	<i>The educational qualifications and/or work experience of the Risk Management Committee Members should be disclosed. At least one of the Risk Management Committee Members must have an investment and finance educational or work background in order to garner points for this item.</i>	a.) Transparency Seal (43.2. On the Board and Officers: https://mwss.gov.ph/transparency-seal-2/ b.) 2019 Annual Report (Page 27 Board Committees)	Y	a.) Transparency Seal (43.2. On the Board and Officers: https://mwss.gov.ph/transparency-seal-2/ b.) 2019 Annual Report (Page 27 Board Committees) : https://mwss.gov.ph/wp-content/uploads/MWSS-2019-ANNUAL-REPORT-Final-1.pdf	Y	MERLY M. CRUZ Member of the Board, MWSS Board of Investments, DTI-Member, Board of Governors (Jan 2010-26 June 2013) Source: https://mwss.gov.ph/wp-content/uploads/Cruz.pdf
III	24.a	Are the Board of Directors meetings scheduled at the beginning of the year? (end of Q1)	Y	<i>The GOCC must explicitly disclose that the meetings held on the year being assessed were scheduled well in advance and when they were scheduled.</i>	a.) BOT Attendance Attendance is disclosed on MWSS website	Y	a.) https://mwss.gov.ph/wp-content/uploads/2020-Board-of-Trustees-Attendance.pdf b.) By-Laws-Section3; Every 2nd and 4th Thursday of the month	Y	By-Laws-Section3; Every 2nd and 4th Thursday of the month
III	24.b	Does the Board of Directors meet at least monthly?	Y	<i>The GOCC must show its attendance records that there were monthly meeting held.</i>	a.) BOT Attendance Attendance is disclosed on MWSS website b.) By-Laws-Section3; Every 2nd and 4th Thursday of the month	Y	a.) https://mwss.gov.ph/wp-content/uploads/2020-Board-of-Trustees-Attendance.pdf b.) By-Laws-Section3; Every 2nd and 4th Thursday of the month	Y	Meetings were held monthly. https://mwss.gov.ph/wp-content/uploads/2020-Board-of-Trustees-Attendance.pdf
III	24.c	Did the Board of Directors meet on at least 75% on their scheduled meetings?	Y	<i>In order to garner points for this item, the GOCC must be able to first prove that meetings were scheduled in advance (Q. 24.a.) After which, a schedule of actual meetings held must be shown to prove that the Board met on at least 75% of their scheduled meetings.</i>	a.) BOT Attendance Attendance is disclosed on MWSS website b.) By-Laws-Section3; Every 2nd and 4th Thursday of the month	Y	a.) https://mwss.gov.ph/wp-content/uploads/2020-Board-of-Trustees-Attendance.pdf b.) By-Laws-Section3; Every 2nd and 4th Thursday of the month	N	The Board met 6/22 (excluded 2 holidays from scheduled 2nd & 4th Thursdays meeting in 2020) or 27.27% on their scheduled meetings
III	24.d	Has each of the directors/commissioners attended at least 90% of all the board meetings held during the year?	Y	<i>All of the attendance of Appointive and Ex Officio/Alternate Directors must be considered and all of them should have attended at least 90% of the board meetings held during the year in order to garner points for this item.</i>	a.) BOT Attendance Attendance is disclosed on MWSS website b.) By-Laws-Section3; Every 2nd and 4th Thursday of the month	Y	a.) https://mwss.gov.ph/wp-content/uploads/2020-Board-of-Trustees-Attendance.pdf b.) By-Laws-Section3; Every 2nd and 4th Thursday of the month	N	Dir. Vega attended 87.5% or 21/24 of the board meetings.
III	24.e	Did the Board of Directors meet separately at least once during the year without the President/CEO present?	Y	<i>The GOCC must explicitly state a meeting held on a specific date wherein the Board met without the President/CEO present.</i>		N		N	No disclosure found.
III	25.a	Does the GOCC have a policy that stipulates board papers for Board of Directors/Commissioners meetings be provided to the Board at least three (3) working days in advance of the board meeting?	Y	<i>The GOCC must clearly disclose that the Board was provided with the board papers for the upcoming meeting at least 3 working days in advance of the said meeting.</i>	a.) Memorandum on Submission of Board Matters b.) By-Laws-Section 10 Board Agenda	Y	a.) https://mwss.gov.ph/wp-content/uploads/Memo-on-Submission-of-Board-Materials.pdf b.) By-Laws-Section 10 Board Agenda	Y	For purposes of a more efficient calendar, the ff matters shall be excluded from the Board's agenda: matters submitted in violation of five (5) day requirement
III	25.b	Is the Board Secretary trained in legal, accountancy or company secretarial practices?	Y	<i>The GOCC should disclose the educational and work background of the Corporate Secretary. In order to garner points for this item, there should be proof that the Corporate Secretary has legal, accountancy or secretarial educational/work background.</i>	a.) Profile of Corporate Secretary	Y	a.) Profile: https://mwss.gov.ph/wp-content/uploads/renee-inting-profile.pdf	Y	RENEE JOSEPHINE INTING Legal Writing, Legal Ethics and Procedural Laws/Jurisprudence
III	26.a	Does the company have a separate internal audit function?	Y	<i>There should be a clear showing that there is a separate internal audit function in the GOCC, whether it be a singular internal auditor, an entire internal audit department or an external firm. If it is a secondary function of an existing staff or department, the GOCC will not garner points for this item.</i>	a.) MWSS Plantilla and Internal Audit Department Standard Operating Policies and Procedures Manual (IADSOPPM) – IAD Manual of Operations	Y	a.) MWSS Plantilla and Internal Audit Department Standard Operating Policies and Procedures Manual (IADSOPPM) – IAD Manual of Operations : https://mwss.gov.ph/wp-content/uploads/IAD-Manual-of-Operations-rotated-1.pdf	Y	Internal Audit Department and Operations Manual

III	26.b	Does the appointment and removal of the internal auditor require the recommendation of the Audit Committee?	Y	<i>The GOCC should explicitly state that the appointment and removal of the internal auditor require the approval of the Audit Committee. Should the charter of the GOCC provide for another mode of appointment/removal of the internal auditor, this should also be stated in order for the assessors to consider such issue.</i>	a.) MWSS Plantilla and Internal Audit Department Standard Operating Policies and Procedures Manual (IADSOPPM) – IAD Manual of Operations	Y	a.) MWSS Plantilla and Internal Audit Department Standard Operating Policies and Procedures Manual (IADSOPPM) – IAD Manual of Operations : https://mwss.gov.ph/wp-content/uploads/IAD-Manual-of-Operations-rotated-1.pdf	N	No disclosure found on the statement that the appointment and removal of the internal auditor require the approval of the Audit Committee.
III	27.a	Does the company disclose the internal control procedures/risk management systems it has in place?	Y	<i>The GOCC must name all the key internal control procedures and its risk management system. There should also be an assignment of responsibilities in order to garner points for this item</i>		N		N	No disclosure found.
III	27.b	Does the Annual Report disclose that the board of directors/commissioners has conducted a review of the company's material controls (including operational, financial and compliance controls) and risk management systems?	Y	<i>The GOCC's annual report must explicitly state that the Board conducted a review of the company's risk management system and material controls during the year being assessed.</i>	a.) Annual Report p12-13 Report of the ARMC	Y	a.) https://mwss.gov.ph/wp-content/uploads/Draft-Annual-Report-2020-Ver2.pdf	Y	REPORT OF THE AUDIT, RISK AND MANAGEMENT COMMITTEE (ARMC) The COVID 19 was a litmus test for the MWSS Board and Management, but so was the water shortage, the uncertainty surrounding the validity of the concession agreement, and the natural disasters and economic recession that struck the country. All events presented risks and opportunities.
III	27.c	Does the company disclose how key risks are managed?	Y	<i>The GOCC must disclose all of its key risks (operational, compliance and financial) and how they are being managed. Merely disclosing a list of risks will not garner any points.</i>	a.) Annual Report p12-13 Report of the ARMC	Y	a.) https://mwss.gov.ph/wp-content/uploads/Draft-Annual-Report-2020-Ver2.pdf	Y	The ARMC provided additional recommendations to enhance the efficiency of operations. Furthermore, the ARMC consistently monitored Management to ensure that COA corrective actions were undertaken as recommended and asked that reports on corrective actions be presented to the members on a regular basis. To ensure enhanced operational efficiency, the ARMC gave recommendations on the improvement of the internal audit, taking into consideration the existing control implemented internally, past audit results, the corporate risk assessment results, and management interviews to identify any needs or concerns.
III	27.d	Does the Annual Report contain a statement from the Board of Directors or Audit Committee commenting on the adequacy of the GOCC's internal controls/risk management systems?	Y	<i>The GOCC's Board or Audit Committee must explicitly state that the GOCC's risk management systems and internal controls are adequate</i>	a.) Annual Report p12-13 Report of the ARMC	Y	a.) https://mwss.gov.ph/wp-content/uploads/Draft-Annual-Report-2020-Ver2.pdf	Y	The Committee also reviewed the Internal Audit Office's assessment results of the internal control system efficiency and effectiveness for the department-wide work processes based on Manual of Operations and found existing control systems as adequate.
III	28	Do different persons assume the roles of Chairman and CEO?	Y	<i>The GOCC's PCEO and Chairman during the year being assessed must be clearly identified in the website. An N/A rating will be given should the GOCC's charter provide that the Chairman and PCEO positions must be held by a single person.</i>	a.) MWSS Transparency Seal Section 43.2 (On the Board and Officers)	Y	a.) MWSS Transparency Seal Section 43.2 43.2 (On the Board and Officers) : https://mwss.gov.ph/transparency-seal-2	Y	PLTGEN. Reynaldo V. Velasco (Ret.) Ph. D.Chairman / concurrent OIC, Administrator VacantVice-Chairman / Administrator
III	29.a	Does the GOCC have orientation programs for new Directors?	Y	<i>The GOCC must not only state that it has an orientation programs for Directors. Details and/or coverage of the orientation program must be disclosed in order to garner points for this item.</i>	a.) Orientation Program on Corporate Governance	Y	a.) Orientation Program on Corporate Governance	N	No details of Orientation Program on Corporate Governance found.
III	29.b	Does the GOCC have a policy that encourages Directors/Commissioners to attend on-going or continuous professional education programs?	Y	<i>Apart from stating the GOCC's training policy and continuous education programs for its Directors, the GOCC may also state that it has a training budget allocated for the Directors on the year being assessed.</i>	a.) Annual report, p48 (Trainings and Development Program article)	Y	a.) https://mwss.gov.ph/wp-content/uploads/Draft-Annual-Report-2020-Ver2.pdf	Y	Digital Transformation for Executives conducted by the UP Systems Information Technology Foundation on September 21-23 2020, participated in by 25 MWSS officials including the MWSS Board of Trustees
III	29.c	Did all Appointive Directors attend at least 1 training for the calendar year?	Y	<i>The GOCC must disclose that each Appointive Director attended at least one (1) training during the year being assessed. If there is an Appointive Director who did not attend at least one (1) training the GOCC will not garner points for this item.</i>	a.) Parliamentary Procedures for Effective Meetings – Feb 20,2020 b.) Digital Transformation Briefing for Executives – Sept 21-23, 2020 c.) Updates on R.A. 3284 – December 10,11,14, 2020	Y		Y	Digital Transformation for Executives conducted by the UP Systems Information Technology Foundation on September 21-23 2020, participated in by 25 MWSS officials including the MWSS Board of Trustees
III	30.a	Is an annual performance assessment conducted of the Board of Directors?	Y	<i>The GOCC should conduct its own Board Appraisal which is different from GCG's internet-Based Performance Evaluation for Directors (IPED). It must be readily apparent when the said Board Appraisal was conducted in order to garner points for this item.</i>	a.) ICRS-DPR	Y		N	No disclosure found.
III	30.b	Does the GOCC disclose the process followed in conducting the Board assessment?	Y	<i>The GOCC must disclose the entire process involved in undertaking the Board Appraisal.</i>		N		N	No disclosure found.
III	30.c	Does the GOCC disclose the criteria used in the Board assessment?	Y	<i>The GOCC should clearly state all the criteria that the Board Members used in their Board Appraisal.</i>		N		N	No disclosure found.

III	31	Is an annual performance assessment conducted of the Board of Directors Committees?	Y	<i>The GOCC should conduct an Appraisal of its Committees' performance during the year being assessed. It must be readily apparent when the said Committee Appraisal was conducted in order to garner points for this item.</i>	a.) ICRS-DPR	N		N	No disclosure found.
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CORPORATE GOVERNANCE SCORECARD REPORT B

Name of GOCC: METROPOLITAN WATERWORKS AND SEWERAGE SYSTEM - CORPORATE OFFICE
 Sector: UTILITIES AND INFORMATION-COMMUNICATION
 Date submitted: 30-Sep-21
 Year being assessed: 2020
 Date/s Assessed: 18-Oct-21

COMPONENT					GOCC SUBMISSION			GCG VALIDATION	
GRP	ITEM	QUESTION	ANS	GUIDE	COMPLIANCE	ANS	REFERENCE LINK/SUPPORTING DOCUMENTS	ANS	REMARKS
II. Disclosure and Transparency									
II	8	Are the annual reports downloadable from the GOCC's website?	Y	<i>Annual reports/Financial statements on the year being assessed must be downloadable from the GOCC's website.</i>	a.) Annual Reports are downloadable on MWSS website	Y	https://mwss.gov.ph - Annual/Term Report Tab	Y	
II	10.a	Are the audited annual financial report/statement uploaded on the website within 60 days upon receipt from COA?	Y	<i>The GOCC must explicitly state the 1.) date of receipt of the AFS from COA and 2.) the date when the AFS was released/published online.</i> <i>An N/A rating will be given for this item if the COA-audited financial report is not yet available or not yet transmitted to the GOCC, provided that the evidence/ proof of their financial reports have already been submitted for audit and that they disclose their unaudited financial reports instead.</i>	2020 Annual Report received on 28 August 2021 was posted on the website 7 September 2021.	Y	MWSS Transparency Seal Section 43.3 (On Financial and Operational Matters) – Section 43.3 : https://mwss.gov.ph/transparency-seal-2/#	Y	
II	10.b	Is the annual report released within 90 days from release of audited financial report?	Y	<i>The GOCC must explicitly state the 1.) date of receipt of the AFS from COA and 2.) the date when the Annual Report was released/published online</i>	2020 Annual Report received on 28 August 2021 was posted on the website 7 September 2021.	Y	a.) MWSS Transparency Seal Section 43.3 (On Financial and Operational Matters) – Section 43.3 : https://mwss.gov.ph/transparency-seal-2/#	Y	
II	10.c	Is the true and fairness/fair representation of the annual financial statement/reports affirmed by the board of directors/commissioners and/or the relevant officers of the company?	Y	<i>There should be a statement online made by the Directors and/or relevant officers confirming the truth/veracity and fairness of the GOCC's financial statements.</i>	MWSS Transparency Seal Section 43.3 (On Financial and Operational Matters) – Section 43.3 (01-MWSS2018-2019_Transmittal_Letter_to_BOT)	Y	MWSS Transparency Seal Section 43.3 (On Financial and Operational Matters) – Section 43.3 (01-MWSS2018-2019_Transmittal_Letter_to_BOT) : https://mwss.gov.ph/transparency-seal-2/#	Y	

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III. Responsibilities of the Board									
III	12	Did the GOCC achieve 90% in the PES?	Y	<i>The GOCC must publish the GCG-validated performance scorecard on the year being assessed.</i>	Revalidated rating of 94.43% (2019 PERFORMANCE SCORE)		Revalidated rating of 94.43% (2019 PERFORMANCE SCORE); https://mwss.gov.ph/wp-content/uploads/LT-to-MWSS-CO-Revalidation-of-2019-Performance-Score.pdf	NA	

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IV. Bonus									
IV	1	Does the GOCC practice Stakeholder Relationship Global Reporting Index (GRI) on its annual reports?	Y	<i>The GOCC must publicly disclose that it has adopted integrated reporting based on the GRI framework for its disclosures.</i>		N		N	
IV	2	Timely release of annual financial report	Y	Is the audited annual financial report/statement released within 30 days upon receipt from COA? <i>The GOCC must explicitly state the date when the AFS was released from COA and when it was published.</i>	2020 Annual Report received on 27 August 2021 was posted on the website 27 September 2021.		a.) MWSS Transparency Seal Section 43.3 (On Financial and Operational Matters) – Annual Audit Report 2020 posted on website Sept 27 2021: https://mwss.gov.ph/transparency-seal-2/	Y	https://mwss.gov.ph/wp-content/uploads/01-MWSS2020_Transmittal_Letters.pdf

V. Penalty									
V	1	Responsibilities of the Board	N	Are there members of the Board of Directors who hold more than five (5) positions in GOCCs and PLCs? <i>The GOCC must name all the directorships of their Appointive Directors to other GOCCs and PLCs. Should the Director have more than 5 positions in other GOCCs and PLCs, the GOCC will be marked N on this item.</i>		N		N	
V	2	Responsibilities of the Board	N	Is there non-compliance with Good Governance Conditions? <i>The GOCC must disclose the results of GCG's evaluation of its good governance conditions. Should the GOCC fail to comply with two or more conditions, it will be marked N on this item.</i>		N		N	